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**GROUP 1600**

**To:** Examiner Gansheroff  
Art Unit: 1636

**From:** Frank S. DiGiglio

**Fax:** 703 872 9306

**Pages:** 17 (including cover sheet)

**Phone:**

**Date:** 1/8/2002

**Re:** Shaun A. Kirkpatrick  
Serial No. 09/433,429  
Filed: November 4, 1999  
Docket: 11160

**CC:**

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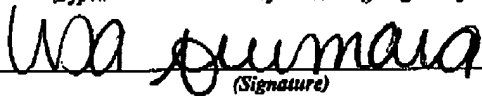
• **Comments:**

Enclosed is the following:

1. Amendment Transmittal Letter (in duplicate)
2. Substitute Amendment under 37 C.F.R. §1.121
3. Certificate of Facsimile

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|  |   |  |                                      |
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| <b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b>   |   |  | <b>Docket No.</b>                    |
| Applicant(s): <b>Shaun A. Kirkpatrick</b>  |   |  | <b>11160</b>                         |
| <b>Serial No.</b><br><b>09/433,429</b>   | <b>Filing Date</b><br><b>November 4, 1999</b> | <b>Examiner</b><br><b>L. Gransheroff</b> | <b>Group Art Unit</b><br><b>1636</b> |
| <b>Invention:</b><br><b>PRODUCTION OF BIOLOGICAL FACTOR AND CREATION OF IMMUNOLOGICALLY ENVIRONMENT</b><br><b>USING GENETICALLY ALTERED SERTOLI CELLS</b>  |   |  |                                      |
| <p>I hereby certify that this <u><b>SUBSTITUTE AMENDMENT UNDER 37 C.F.R. 1.121</b></u><br/><i>(Identify type of correspondence)</i></p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u><b>703 872 9306</b></u>)</p> <p>on <u><b>January 8, 2002</b></u><br/><i>(Date)</i></p> <p style="text-align: right;"><u><b>Lisa Finmara</b></u><br/><i>(Typed or Printed Name of Person Signing Certificate)</i></p> <p style="text-align: right;"><u></u><br/><i>(Signature)</i></p> <p style="text-align: center;"><b>Note: Each paper must have its own certificate of mailing.</b></p> |   |  |                                      |

|  |  |                                   |                               |
|--|--|-----------------------------------|-------------------------------|
| <b>AMENDMENT TRANSMITTAL LETTER (Large Entity)</b> |  |                                   | <b>Docket No.</b>             |
| Applicant(s): <b>Shaun A. Kirkpatrick</b>          |  |                                   | <b>11160</b>                  |
| <b>Serial No.</b><br>09/433,429                    | <b>Filing Date</b><br>November 4, 1999 | <b>Examiner</b><br>L. Gransheroff | <b>Group Art Unit</b><br>1636 |

Invention: **PRODUCTION OF BIOLOGICAL FACTOR AND CREATION OF IMMUNOLOGICALLY ENVIRONMENT USING GENETICALLY ALETERED SERTOLI CELLS**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

**CLAIMS AS AMENDED**

|  | CLAIMS REMAINING<br>AFTER AMENDMENT | HIGHEST #<br>PREV. PAID FOR | NUMBER EXTRA<br>CLAIMS PRESENT | RATE    | ADDITIONAL<br>FEE |
|--|-------------------------------------|-----------------------------|--------------------------------|---------|-------------------|
| TOTAL CLAIMS   | 36 -                                | 41 =                        | 0 x                            | \$18.00 | \$0.00            |
| INDEP. CLAIMS  | 12 -                                | 12 =                        | 0 x                            | \$84.00 | \$0.00            |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> |                                     |                             |                                |         | \$0.00            |
| <b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>                           |                                     |                             |                                |         | <b>\$0.00</b>     |

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_  
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- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

  
Signature

Dated: January 8, 2002

Frank S. DiGiglio  
Registration NO. 31,346

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